

Powering the *Future* of Managed Accounts Technology

Why SMartX?

Smarter Solutions for Today's Advisor

SMartX makes it easier to manage multiple accounts and consolidate multiple models into a single account—so you can spend more time with clients and less time on operational work. With SMartX, you can deliver personalized, tax-efficient portfolios across client accounts without spreadsheets or manual trading. The platform handles rebalancing, model updates, and cash management in real time.

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|------------------------------|------------------------------------|----------------------------|
| Platform AUM \$27B | Client Accounts 120,000+ | Sleeves 354,000+ |
|------------------------------|------------------------------------|----------------------------|

Data as of March 2026

Key Value Drivers

Transparency & Control

Maintain total control with real-time visibility into holdings, drift, trades, and sleeve-level performance across every client account

Automated Personalization at Scale

Deliver tailored portfolios by client, account, or tax needs using automated rebalancing, substitutions, and model updates to reduce manual work without adding complexity.

Portfolio Diversity

Access over 2,500 strategies from 375 asset managers—from traditional models, alternatives, and semi-liquids—to build sophisticated, institutional-grade portfolios tailored to each client's unique goals.

Core Capabilities



Advanced UMA Infrastructure

Rep-directed, model-traded, manager-traded, tax-transition, cash management, & protected sleeves, all in a single account.



Scalable Rebalancing

Align portfolios to client objectives & preview potential capital gains for tax-aware portfolio management at scale.



Robust Manager Marketplace

Access leading third-party asset managers and strategies—fully integrated and ready to deploy.



SMartX Client Support

SMartX provides a dedicated team to assist with everything from onboarding to ongoing management, ensuring your back office runs as smoothly as your front office.



Comprehensive Tax Tools

Full suite of tools for tax transitions, impact-aware rebalancing, & tax-loss harvesting to support tax-aware portfolio management.

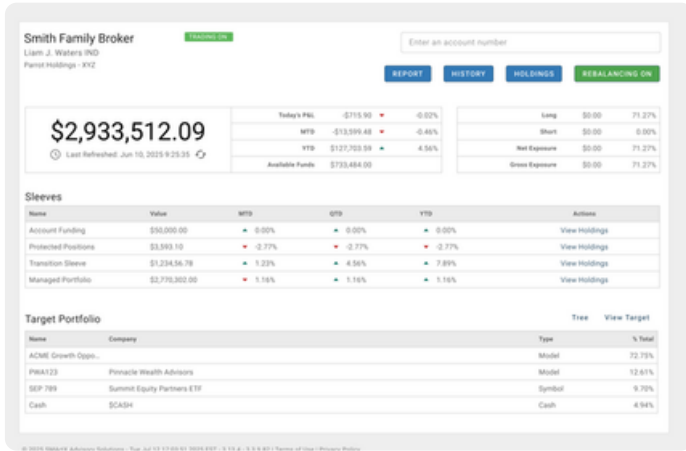


Seamless Multi-Custodial Access

Manage your book across all major custodians with one login. Our seamless SSO removes platform friction, letting you trade and report in one unified environment.

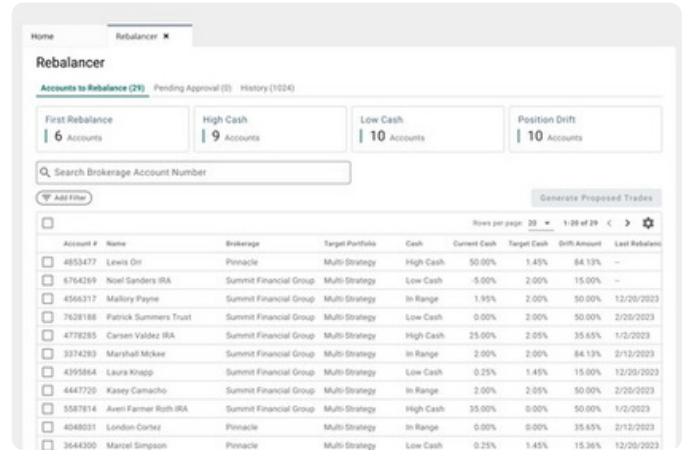
UMA Account Structure

Account-level view featuring multiple sleeve types.



Rebalancer

Rebalance initiated across multiple account & target portfolios.



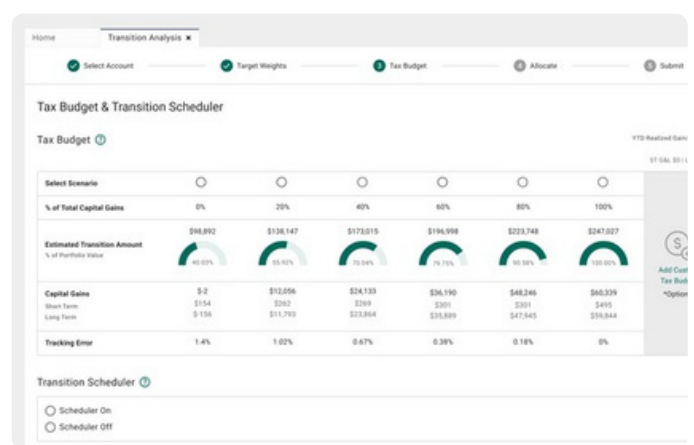
Proposal Construction

Uma proposals dynamically generated via portfolio construction



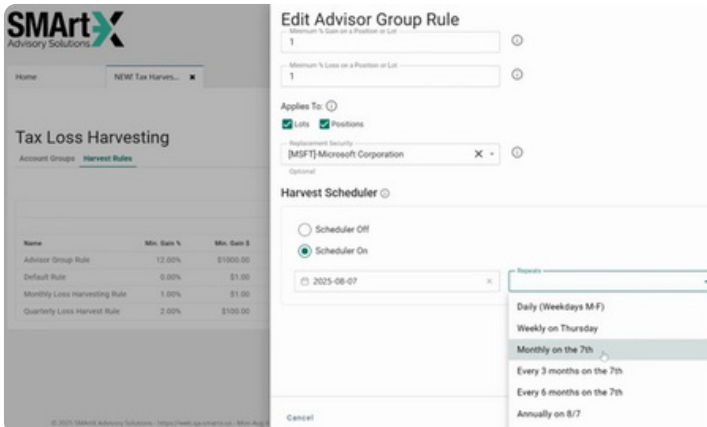
Tax Transition Management

Analyze, configure, and implement a tax transition plan aligned to a capital gains budget



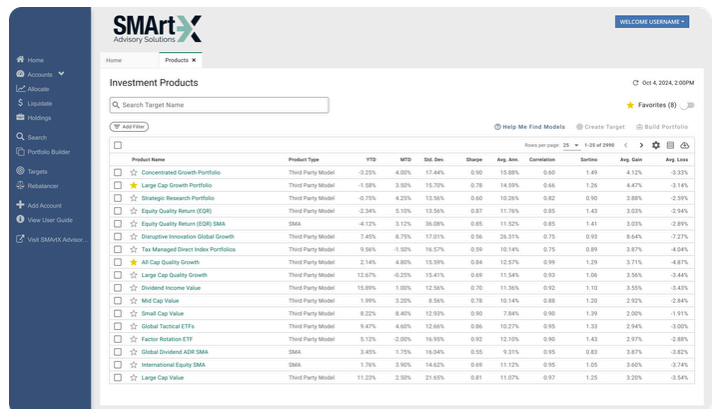
Tax Loss Harvester

Automated solution for identifying and executing harvesting opportunities across households and accounts.



Manager Marketplace

View of available managed investment products.



All images are SMartX Platform mockups and do not include real client data and/or information